Achieving the IGT (Hosted Secondary Use Team/ Project View) The Npeu Experience

Sarah Lawson
Head of IT and Information Security
Sarah.lawson@npeu.ox.ac.uk







We needed NIGB approval for many of our trials and research projects

We need an N3
line for
connection to
the Demographic
Batch Service

We work in close collaboration with clinicians from all over the UK and work closely with NHS facilities across the UK



Epidemiology Unit





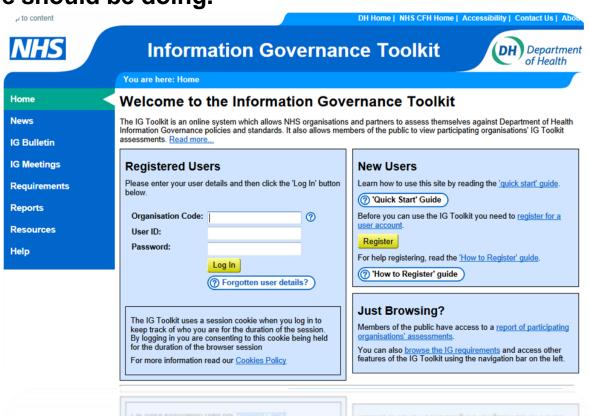
In the past the Unit had relied on its own strict security policy and procedure to prove its integrity







- We were directed to fill out the IG Toolkit to enable us to proceed with the work we wanted to do.
- The first thing we found difficult was trying to get the right information of what we should be doing.





Just Browsing?

Members of the public have access to a report of perficipating organizations, assessments.

You can also browns the IG regularments and access other features of the IG Toolkit using the navigation bar on the left.



Finding out where to start was more difficult than I had anticipated......

NHS Connecting for Health

NHS Connecting for Health Information Governance Statement of Compliance (IG SoC) Application Form

This form should be used to apply for an Information Governance Statement of Compliance (IG SoC) – for example, to seek approval for a new connection to the NHS national network (N3) or other NHS Connecting for Health (NHS CFH) systems and/or services.

The IG SoC is the acceptable use agreement between NHS CFH and organisations (Approved Service Recipients) which connect to the N3. It sets out terms and conditions to preserve the integrity of those systems and services.

This form is not appropriate for GPs. To see whether this form is appropriate for you, and for more details on the IG SoC process, please refer to the NHS Connecting for Health website: http://www.connectingforhealth.nhs.uk/systemsandservices/infoqov/igsoc

The steps in the IG SoC application process set out a range of security related requirements which <u>must</u> be satisfied for an organisation to provide assurance that the N3 and information assets are safeguarded.





 It took at least 3 attempts to get the information correct on the Statement of compliance



- It involved a trip to London to an NHS IT exhibition specifically to speak to the BT N3 stand on a quest to get some help. This finally resulted in being put in touch with some very helpful people who started to guide me on what was needed for the form
- Trying to get a named sponsor and to find out what this really meant was the biggest hurdle







NHS Connecting for Health Information Governance Statement of Compliance (IG SoC) Application Form

This form should Compliance (IG national network services.

Question: Could the Statement of Compliance be designed to better fit the HE/NHS collaboration model?

and for more details on the IG SoC process, please refer to the NHS Connecting for Health website: http://www.connectingforhealth.phs.uk/systemsandservices/inforcy//as

The steps in the IG SoC application process set out a range of security related requirements which <u>must</u> be satisfied for an organisation to provide assurance that the N3 and information assets are safeguarded.

requirements which must be satisfied for an organisation to provide assurance that the N

- Many trials units work in close collaboration with NHS staff and patients, however the NHS Trust or Trusts are not supporting or sponsoring us in the way required in the compliance statement.
- Often we are working in the same buildings or areas as the NHS facilities but we are not linked or using the NHS systems.
- Many of our staff have dual contracts but they are not strictly useful in the context of the IG SoC





- It sounds ridiculous now but it took from January to September to finally receive our login to the IG Toolkit.
- Looking back it was all due to just not being able to understand what was required of us as a unit.



Once into the toolkit it was a shock.







Information Governance Toolkit



Sarah Lawson

National Perinatal Epidemiology Unit (NPEU)

Log Out

Home

News

IG Bulletin

IG Meetings

Assessments

Reports

Resources

Admin

Help





You are here: Assessment Summary > Version 10 (2012-2013) Version 10 (2012-2013) Assessment

Requirements List

Printable version | Downloads and booklets

Show Owners Show Co

Back To Assessments Page

data

Req No	Description	Status ⑦	Attainment Level
Informa	tion Governance Management		
10-120	Responsibility for Information Governance has been assigned to an appropriate member, or members, of staff	Answered	Level 3
	Owners: ⑦		
	Overall Sarah Lawson (sala)		
	Comments:		
	1a The responsibility is given to the NPEU Head of IT and Information Security. It is in the job description of that individual who is currently Sarah Lawson		
	1b The current Head of IT and Information Security is CISMP trained and certified and has attended NHS GCP training. In addition they have qualifications in Law and is a member of the UNiversity of Oxford Information Security team. Ongoing training and best practice is maintained thr		
	1c There is an annual audit of the NPEU system level s rests under the University of Oxford Information Sec	. 7.1	

Don't panic!

rewrite where appropriate and systems are matched rests under the University of Oxford Information Se

rewrite where appropriate and systems are matched

1c. There is an annual audit of the NPEU system level Security Policy and the Information Security Policy which also



1. The form you need to fill in is neatly hidden.....

• Go to the assessments section on the menu and expand the Attainments Levels

2. Read the questions asked on the form carefully

• There are pages and pages and pages of guidance notes and material. If you read them all it will take you months. You will have to be pragmatic!

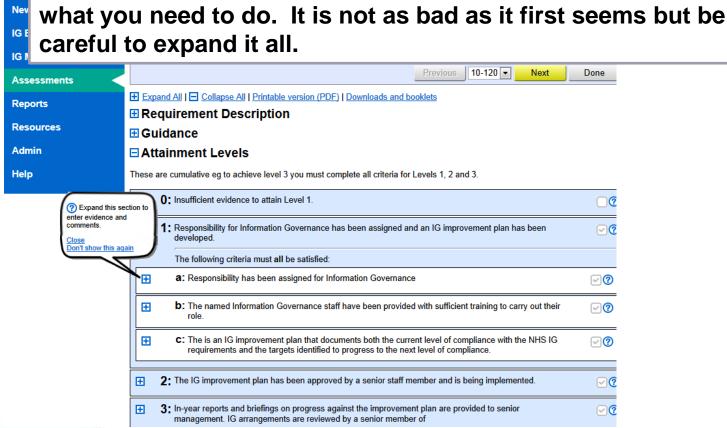
3. Make a note before you start of likely support material.

 If you are already Information Security conscious then it is highly likely you will have everything you will need. Read it carefully and try and match what you call it to the NHS name!



Set aside plenty of uninterrupted time to go through the document!

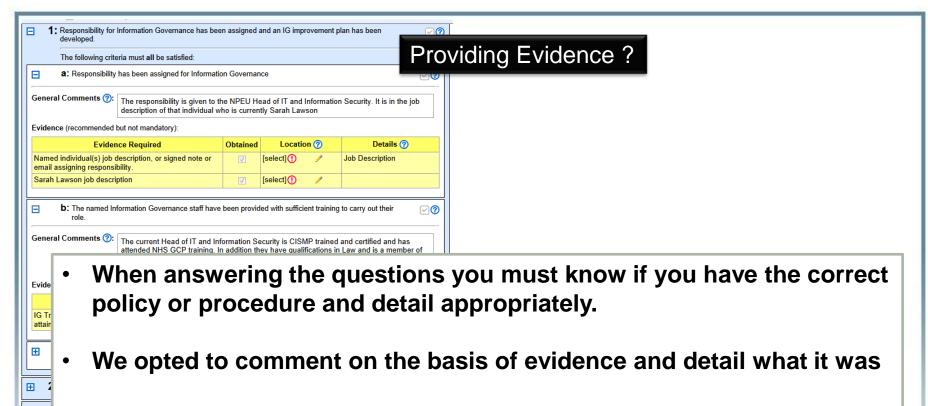




You will need to expand all of the sections to get a clear idea of







- We did not download it or provide reference to location.
- All documentation must be available for inspection if required but in this document it would seem unnecessary and I would not be comfortable uploading all of my support material.





Main Problems.....

NHS

- Converting NHS terms to standard Information Security terms is tricky.
- Are the terms easily matched?

Fit for Purpose

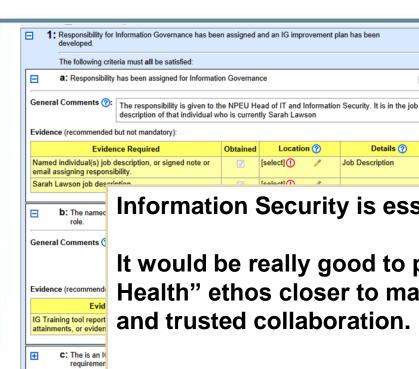
- Do the questions go far enough to protect and prove security?
- Is it so confusing that it prevents the right answers?

Time

- The form is vast but actually contains limited information.
- It takes a lot of time to get this completed. Do all Units have the staff of money to spend on getting this filled in!







Information Security is essential to the work we do.

It would be really good to push the "Connecting for Health" ethos closer to making it easier for transparent and trusted collaboration.

~?

?

Trusted network links?

Information Security Standard terminology?

Agreements and forms that understand the HE and collaborative environment?



2: The IG improve 3: In-year reports management.





If anyone can help us get the N3 line in see me afterwards!



