

Feedback from Information Governance Working Group And UCL Update

May 2016

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IGWG FEEDBACK

Contents

The background of the slide features a faint, grayscale image of several interlocking gears of various sizes, creating a mechanical or industrial aesthetic.

- Recap of group purpose etc
- Recent activities
- Next steps
- UCL update



ABOUT THE GROUP

Purpose

- Liaise with Health and Social Care Information Centre (HSCIC): from July, NHS Digital
- Represent the views of all higher educational institutions affected by the IG Toolkit
- Suggest alterations and additions to the Toolkit
- Provide advice and support to HEI specialists working towards Toolkit adoption

Membership

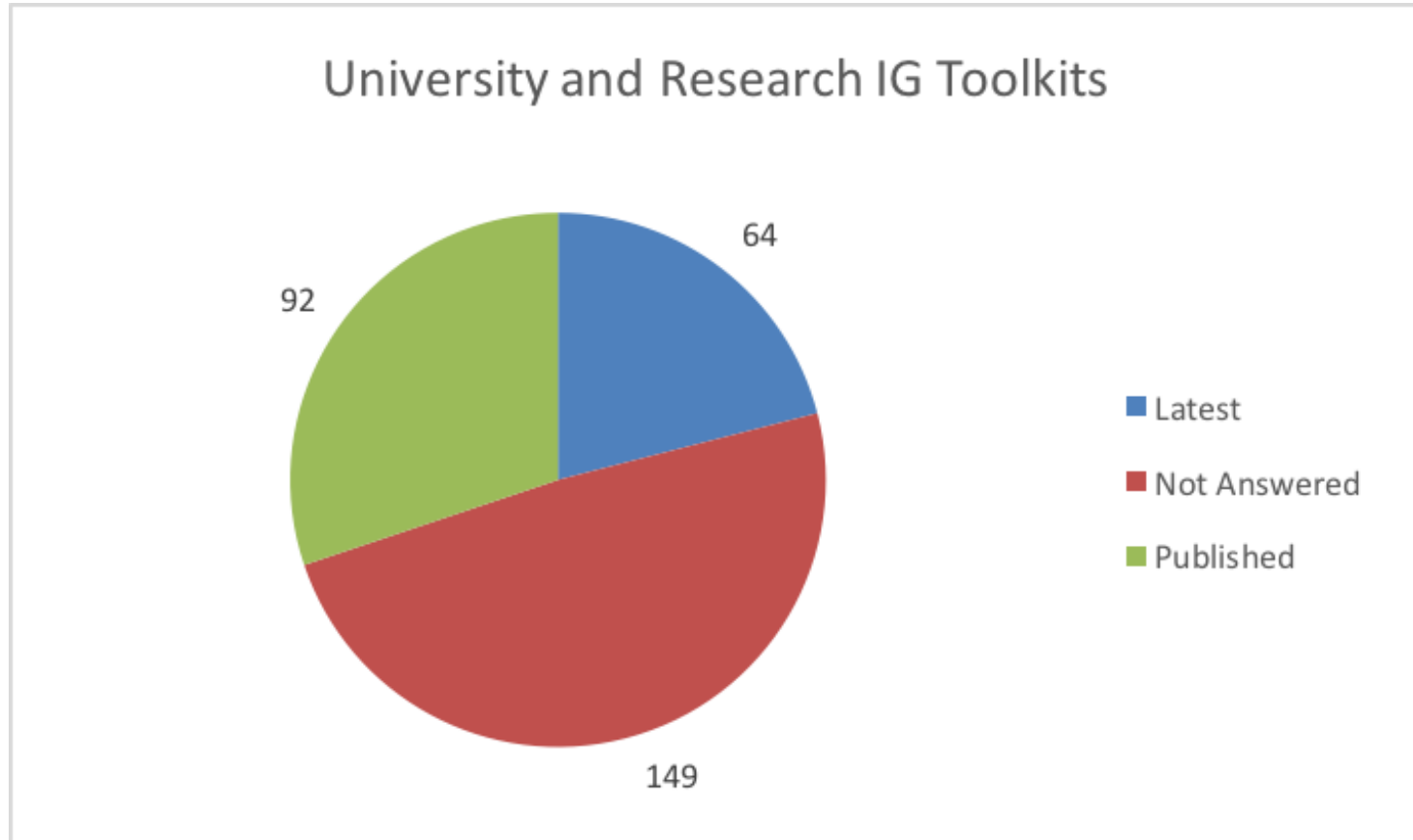
As of May 2016, 79 members representing:

- 25 HEI institutions (was 22 in March 2015)
- 5 Research organisations (was 3)
- 1 NHS trust (unchanged)
- 1 commercial body (unchanged)
- 1 independent (unchanged)
- HSCIC
- HRA
- JISC

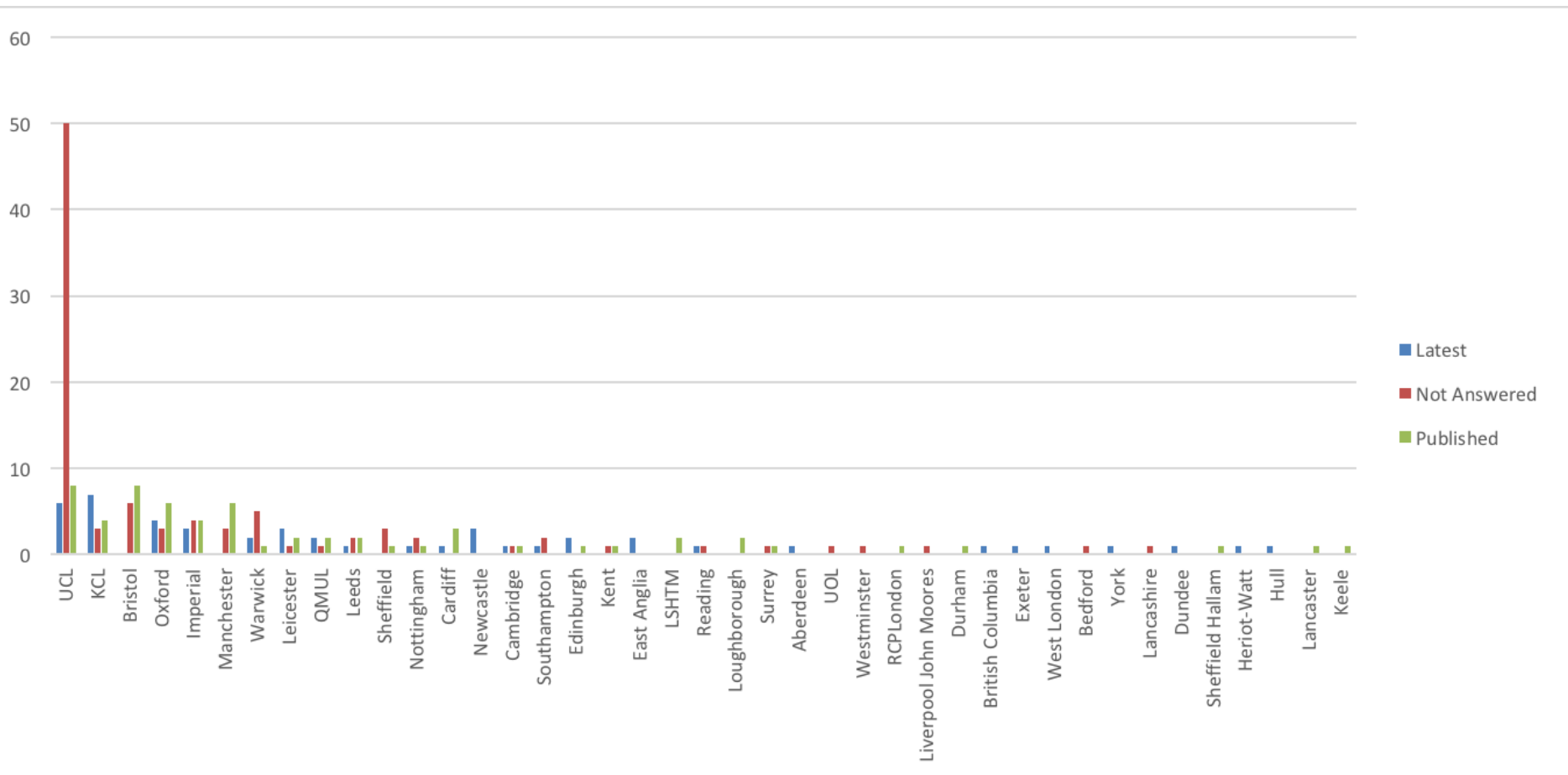


RECENT ACTIVITIES

IG Toolkits: HEI and Research May 2016



IG Toolkits: HEI May 2016



IG Working Group meetings

- 15th October 2015
- 21st March 2016

- Increasing representation of HSCIC divisions
- Good attendance (~20 in March)

Developments

- Review of the Data Sharing Framework Contract and Data Sharing Agreement, Oct 2015
 - Institutions contributed individually
 - IGWG provided summary response
- Audits have commenced (approx two HE toolkits per month)
 - Positive experience
- Next version of the Toolkit likely to have minimal changes pending Caldicott 2

Main topics

- Consistency of approach to HE
- Federated nature of HE
 - Communications and authorisations
 - Reissuing Framework Agreements for new studies
- Accommodation of feedback from survey
- Disparate nature of HSCIC

Sample issues in focus

- Retention of source data for research: deletion notices vs retention schedule
- Addition of technical requirements to framework contracts (e.g. on encryption)
- Handling of "old consent" situations
- Use of the IG Toolkit or ISO/IEC 27001 or "other" to satisfy contract

Other developments

- Further divisions of HSCIC to be invited to participate in IGWG
- Letter is now sent by HSCIC to new and existing IGTK registrants inviting them to join the IG Working Group
- To liaise with HSCIC regarding anonymisation/pseudonymisation standard
- Caldicott 2 on hold pending referendum



PLANS

Next steps

- Work with HSCIC to resolve current issues
- Prioritise main topics ongoing
- Contribute to development of anonymisation/pseudonymisation standard
- Arrange more workshops and training days

IGWG Conclusion



- Successful collaboration with HSCIC on the Toolkit
- Challenges persist relating to Framework Agreement
- Working Group would propose to continue in operation
- Contact Malcolm Teague to get involved:
 - malcolm.teague@ja.net



UCL UPDATE

IGTK News

- Ongoing discussions with HSCIC regarding contracts
 - Existing contracts being extended
- Using external consultant to assess requirements against Attainment Level 3

ISO/IEC 27001 News

- Surveillance audit passed in April 2016
- Certified for 2 years so far
- New operational ISMS management team
- Challenges in funding and identity of "top management"
- Joined-up thinking crucial
- Considering "IT department as service provider" approach
- Looking at tools for ISMS records management

The End

Thanks for your time!